



PATENT APPLICATION TRANSMITTAL LETTER

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

Docket No. 115132-4

Transmitted herewith for filing of the patent application of Richard Jude Samulski, Christopher E. Walsh, Arthur W. Nienhuis, Johnson M. Liu and Jeffrey L. Miller for ADENO-ASSOCIATED VIRUS VECTOR AND CIS-ACTING REGULATORY AND PROMOTER ELEMENTS CAPABLE OF EXPRESSING AT LEAST ONE GENE AND METHOD OF USING SAME FOR GENE THERAPY

Enclosed are:

- 22 sheets of drawings (informal, 3 sets)
- 55 pages of specification, including 45 claims
- Declaration under 37 C.F.R. § 1.821(f)
- Paper (pages _____ the of specification) and computer readable form of Sequence Listing
- ☒ UNSIGNED declaration/power of attorney
- verified statement to establish Small Entity Status under 37 C.F.R. 1.9 and 1.27
- ☒ Certificate of Express Mail ("Express Mail" mailing no. TB89625469XUS)
- ☒ Communication re Late Filing of Declaration

CLAIMS AS FILED

SMALL ENTITY

Other than a
Small Entity

| FOR | NO. FILED | NO. EXTRA |
|-------------------------------------|-----------|-----------|
| Basic fee | | |
| Total Claims | 45-20 | 25 |
| Indep Claims | 4-3 | 1 |
| multiple dependent claim present no | | |

| RATE | FEE |
|-----------|--------|
| | \$ 365 |
| X \$11 = | \$ |
| X \$38 = | \$ |
| X \$120 = | \$ |
| TOTAL | \$ |

| RATE | FEE |
|-----------|----------|
| | \$ 730 |
| X \$22 = | \$ 550 |
| X \$76 = | \$ 76 |
| X \$240 = | \$ - |
| TOTAL | \$ 1,356 |

If the difference in Col. 1 is less than zero, enter "0" in Col. 2

- ☐ Please charge my Deposit Account No. 07-1745 in the amount of \$ xxx.
- ☒ A duplicate of this sheet is enclosed.
- ☒ A check in the amount of \$ 1,356 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 07-1745. A duplicate copy of this sheet is enclosed.
- ☒ X Any additional filing fees required under 37 C.F.R. 1.16.
- Any patent application processing fees under 37 C.F.R. 1.17.

June 7, 1995
Date

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08/475470



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Richard Jude Samulski, Christopher E. Walsh, Arthur W. Nienhuis,
Johnson M. Liu and Jeffrey L. Miller

Serial No.: Not yet known

Filing Date: Submitted herewith

Atty. Docket No: 115132-4

FOR: ADENO-ASSOCIATED VIRUS VECTOR AND CIS-ACTING REGULATORY AND
PROMOTER ELEMENTS CAPABLE OF EXPRESSING AT LEAST ONE GENE AND
METHOD OF USING SAME FOR GENE THERAPY

CERTIFICATE OF EXPRESS MAIL

Assistant Commissioner for Patents
Washington, D.C. 20231
BOX PATENT APPLICATION

Sir:

I hereby certify that this document, namely the above-identified complete patent application
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee"
service under 37 CFR 1.10 on the date indicated below and is addressed to the Assistant
Commissioner of Patents and Trademarks, Washington, D.C. 20231.

"Express Mail" mailing label number TB89625469XUS

Date of Deposit June 7, 1995.

Respectfully submitted,

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COMMUNICATION RE LATE FILING OF DECLARATION

Assistant Commissioner for Patents
Washington, D.C. 20231
BOX PATENT APPLICATION

Sir:

Submitted herewith is the above-identified continuation-in-part application of Richard Jude Samulski, Christopher E. Walsh, Arthur W. Nienhuis, Johnson M. Liu and Jeffrey L. Miller. The application is complete but lacks a signed declaration. Applicant respectfully requests that a Notice of Missing Parts (filing date accorded) indicating the serial number and filing date be forwarded to the undersigned, whereupon a signed declaration, and the surcharge due under 37 CFR 1.16 will be filed.

Respectfully submitted,

Date: June 7, 1995

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